Docket No.: MIT.9682CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Reed et al.

GROUP: Unknown

SERIAL NO: Unknown

EXAMINER: Unknown

FILED: Herewith

FOR: SHOCK-WAVE MODULATION AND CONTROL OF ELECTROMAGNETIC

RADIATION

Mail Stop Patent Application Commissioner of Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

In compliance with 37 C.F.R. §§1.56, 1.97, and 1.98, Applicant submits copies of the documents listed on the attached Form PTO-1449.

The Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further fee that may be required.

Respectfully submitted,

Matthew E. Connors
Registration No. 33,298
Gauthier & Connors, LLP

225 Franklin Street, Suite 3300

Boston, Massachusetts 02110

Telephone: 617-426-9180, extension 112

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed therein are being deposited with the United States Postal Service on <u>April 8, 2004</u> in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EV383580175US</u> addressed to the: Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Emily CyPorell

FORM PTO-1449 (Rev. 5/92) GAUTHIER & CONNORS LLP 225 Franklin Street, Boston, MA 02110

Telephone: (617) 426-9180

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

9682CIP

ATTORNEY DOCKET NO.

APPLICANT: Reed et al.

FILING DATE: Herewith

Unknown SERIAL NO.

GROUP: Unknown

EXAMINER: Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE					2	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AF						
•	AG			·			
	AH						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL		
	AI	"Highly Efficient Frequency Conversion with Time-Dependent Photonic Crystals," Reed et al. Dept. of Physics: Massachusetts Institute of Technology. February 2004.
	AJ	"Reversed Doppler Effect in Photonic Crystals," Reed et al. <i>Physical Review Letters</i> . September 2003. Vol. 91, No. 13.
	AK	"Color Shock Waves in Photonic Crystals," Reed et al. <i>Physical Review Letters</i> . May 2003. Vol. 90, No. 20.
	AL	"The color of shock waves in photonic crystals," Reed et al. Dept. of Physics: Massachusetts Institute of Technology.
	AM	
	AN	
	AO	

EXAMINER

DATE CONSIDERED

EXAMINER:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.